

# COMPLIANCE AND LEGAL SUPPORT SERVICES

HLB RISK & COMPLIANCE CONSULTANCY LTD  
Corporate Profile



## WHO WE ARE

HLB MAURITIUS is a Business Conglomerate offering professional services to a wide spectrum of clients in the fields of Finance, Management and Development.

We are the local representative and member firm of HLB (www.hlb.global), a worldwide network of independent professional accounting firms and business advisors with presence in over 158 countries which collectively have more than 2500 partners and 29,363 staff in 795 offices worldwide.

Established since 1989, HLB MAURITIUS has over the years diversified its services to become a one-stop-shop. Under its autonomous business unit namely **HLB Risk and Compliance Consultancy Services Ltd** (referred to as HLB RCC), it provides a complete suite of services to businesses inclusive of Legal, Compliance and Advisory Services adapted to its clients' needs.

[www.hlb-mauritius.com](http://www.hlb-mauritius.com)

**TOGETHER WE MAKE IT HAPPEN**





# VISION & MISSION STATEMENT

## Vision

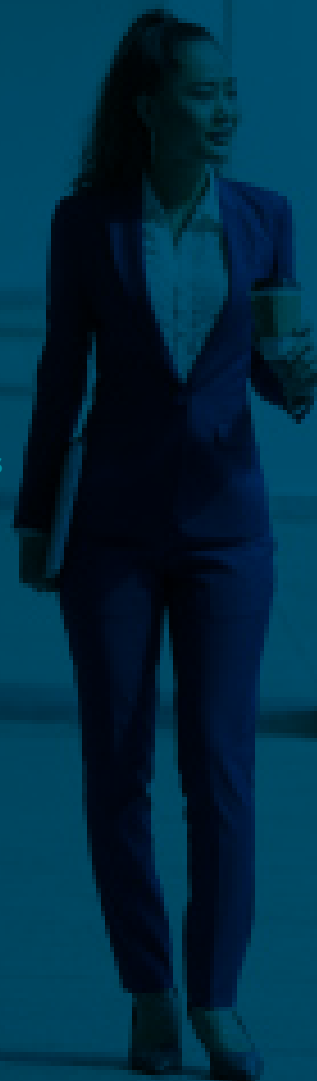
To assist businesses to be compliant with the domestic and international legislations and regulations while providing legal and advisory support on a day to day basis.

## Mission statement

To create a trustworthy synergy between our people and our clients while ensuring a high level of dedication and engagement in the creation of sustainable business.

## OUR SERVICES

- Legal Support Services and drafting
- Registration of Trademarks and Copyrights
- Insolvency Practice
- Corporate Recovery
- Expatriates Services- Invest, Work & Live in Mauritius
- Data Protection Compliance Support Services
- AML/CFT Compliance Support Services
- Training to Executives, Management Team and Staff



## Legal Support and Advisory

HLB RCC provides a full range of legal support and assistance through our network. We have a team of Legal Advisers and Consultants with proven expertise in contract drafting from simple to complex contracts both in English and French languages.

The following is a non-exhaustive list of services we can provide under this head:

Disciplinary hearing

All types of contract drafting

Legal advice on employment matters

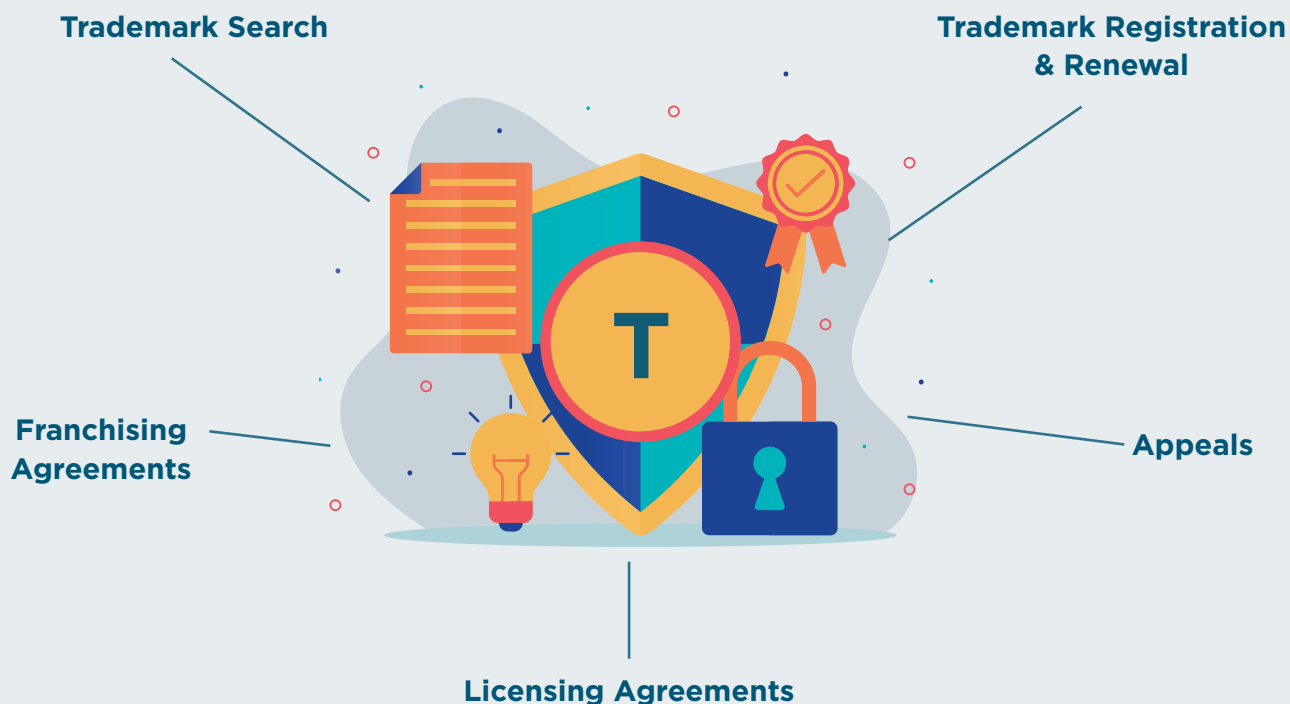
Legal support is provided in legal advisory, litigation and court cases

We work with a network of Barristers, Solicitors and Legal Advisers to ensure that our clients have access to the right specialist advice when needed.



## Registration of Trademarks and Copyrights

Our services include:



# Corporate Recovery and Insolvency Practice

HLB RCC provides expert advice in Corporate Recovery irrespective of the critical point which your business has reached in its life cycle.

Our Corporate Recovery Solutions include:

- Business Diagnosis
- Organisational Audits
- Business Process Re-engineering consultancy
- Design re-structuring plans

HLB Mauritius runs a full-fledged Insolvency Practice department with an Insolvency Expert Team. We are involved in various insolvency assignments and handle all types of insolvency situation including the following:

**Voluntary winding up**

**Receivership & Management**

**Administration**

**Cross border insolvency**

**Creditors Voluntary winding up**

**Shareholder's Voluntary Winding up**

**Netting arrangement in financial contract**

Clients who use our services include Corporates, Global Businesses, Insurance companies, Real Estate Developers, Banks, Regulatory Bodies such as the Bank of Mauritius, the Financial Services Commission, etc.



# Expatriate Services and Investment Advisory

HLB RCC offers services to foreigners who wish to invest, work and live in Mauritius.

## Occupation Permits or Residence Permits

It is a permit that allows a foreigner to live and work in Mauritius under the following categories subject to terms and conditions as defined by law:



**INVESTORS**



**PROFESSIONALS**



**SELF-EMPLOYED**



**RETIRED**

## Permanent Residence Permit

A Permanent Residence Permit is a permit that allows a foreigner to work and/or live in Mauritius for a period of 20 years, renewable.

## Premium Travel Visa

A Premium Travel Visa is a visa that allows foreigners to spend long stays in Mauritius for a period of one year, renewable.

## Student Visa and Residence Permit for foreign students

A Student Visa is a permit that allows a foreigner to stay in Mauritius to obtain tertiary education or technical & vocational education or to follow a practical training course which forms part of higher studies.

## Acquiring Mauritian Citizenship

It allows a foreigner to acquire Mauritian citizenship subject to terms and conditions as defined by law.





## AML/CFT COMPLIANCE CONSULTANCY SERVICES

HLB RCC provides outsourced Compliance Consultancy Services to financial institutions and **Designated Non-financial Business professionals (DNFBPs)** and make sure that they have strong controls and systems in place as per the local requirements.

Non-compliance with the regulatory requirements can result in legal sanctions, prosecution, failed business strategies and damage to a firm's reputation.

With our global network, we can help you to address your compliance issues, whilst allowing you to concentrate on your business goals and objectives.

The following is a non-exhaustive list of compliance consultancy service that our AML/CFT specialists offer:

### MLRO/DMLRO and Compliance Officers Services on an Outsourced Basis

We provide MLRO/DMLRO and Compliance Officers on an outsource basis. The Officers shall be the primary points of contact for request from competent authorities regarding AML/CFT.

We also provide written reports to your board on an bi-annual/yearly basis in relation to the effectiveness of the AML/CFT framework in place.

### Independent AML/CFT Audit

Our AML/CFT Experts Team can conduct an Independent AML/CFT Audit of your existing compliance framework in place. We shall perform a gap analysis in the existing compliance policies and procedures and provide you with the appropriate recommendations with international standards, remedial actions and implementation deadlines

### Your Business Risk Assessment

Section 17 of the FIAMLA 2002 requires financial institutions to locate, analyze and understand their ML/TF risks through a Business Risk Assessment. Our AML/CFT consultants with proven expertise can carry out your business risk assessment to determine the inherent and residual risks.



## Compliance support and on-going monitoring services

Even if you have a compliance program in place, we can act as an independent compliance monitor to ensure that you are not missing out anything. This can include:

- Compliance file review
- Client Risk assessment and profiling
- Ensures internal compliance policies and procedures.

## Client onboarding and acceptance services

We offer consultancy services to ensure that your business enterprise is fully compliant. This includes:

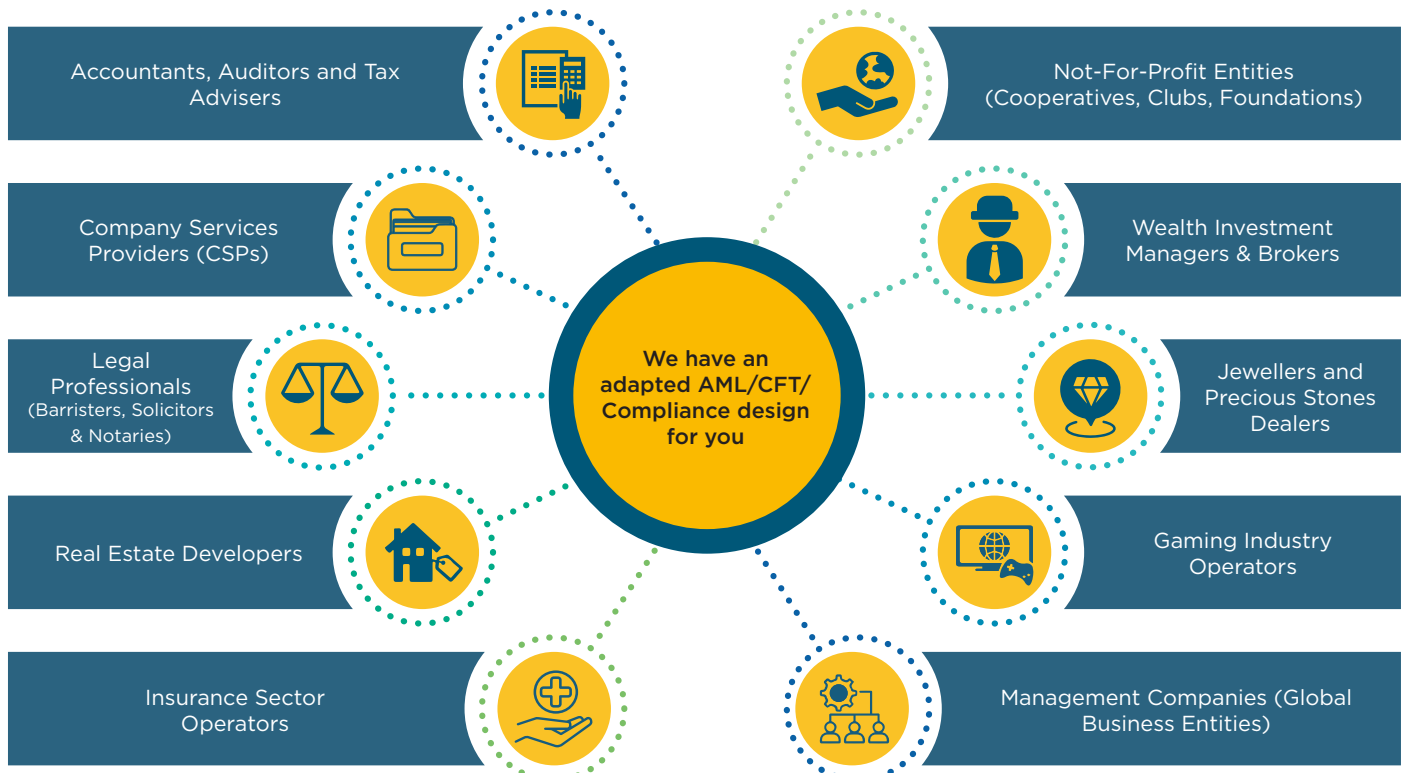
- Carry out and conduct all forms of due diligence exercise on individuals and Corporates.
- Verify customer identity and enhance the transparency and availability of beneficial ownership and information on legal persons.
- Identification of source of fund/wealth.
- Enforce and enhance due diligence in case of high-risk clients.

## Customer Screening Services

We have compliance software to conduct world check, independent checks and sanction screening of your clients on a regular basis.

## Designing of your policies, procedures and controls

We can design and implement an independent, effective and efficient compliance system within your organization. We can also set up your internal and external reporting procedures.



*If you operate in one of the above sectors, you need to talk to HLB Mauritius!*

# DATA PROTECTION SUPPORT SERVICES

The signal is clear: Privacy is no longer a comfort today but a necessity! Although the right to privacy is not absolute, it is nevertheless a fundamental right for each and everyone.

In order to reinforce the control and personal autonomy of data subjects over their personal data, the Data Protection Office has imposed several obligations as set out in the Data Protection Act on all of its data controllers and processors.

At HLB RCC, we have a dedicated team of experts ready to assist you in making your company compliant with the Data Protection Act while guiding you through these various steps:



## Independent Data Protection audit (One-off Basis)

- Setting up of a Data Protection Framework
- Reviewing of existing framework
- Gap Analysis
- Report Writing
- Recommendations

## Outsourcing of Data Protection Officer (Minimum contract period of 2 years)

- On-going Monitoring of Data Protection framework
- Monitor Compliance with Data Protection laws
- First point of contact vis-à-vis the Data Protection Office

## Training of Employees with regards to Data Protection

Provide ongoing training for employees of different levels of the organization which covers all aspect of Data Protection and relevant laws and guidelines

## TRAINING

Training on areas of Law & Compliance on a regular basis. HLB RCC comprises of a team of trainers who provide high level training on different areas of law & compliance matters such as:

- Workers' Rights Act 2019
- Anti Money Laundering courses for different sectors of the Economy
- Data Protection & GDPR
- Corporate Recovery

*and many more...*



## Our Team

Our team includes people with many years of experience in Finance, Taxation, Law and Business Administration and we are able to bring in our diversified knowledge under one roof.



**Louis Clensy Appavoo**

**CEO**

Clensy is the Chief Executive of HLB Mauritius- Finance, Management & Development- Corporate, Legal & Advisory. He reckons more than twenty-five years as an Insolvency Practitioner and is a Fellow member of the Association of Chartered Certified Accountants (ACCA). He holds an MBA from the University of Oxford and a degree in Computer Science and Data Management. Clensy is an experienced Insolvency Practitioner cumulating expertise in both local and International assignments.

[clensy@hlb-mauritius.com](mailto:clensy@hlb-mauritius.com)



**Kaminee Busawah**

**Managing Director**

Kaminee holds a Bachelor in Law (LLB) degree from the University of London, a Master's degree (LLM) with specialization in International Financial and Corporate Law and an MBA in Business Administration and Management. Driven by a passion for excellence, Kaminee, manages the day to day business operations of HLB RCC.

[kaminee@hlb-mauritius.com](mailto:kaminee@hlb-mauritius.com)



**Hishaam Mohammoodally**

**Legal and Compliance Executive**

Hishaam holds a bachelor's degree in Economics and Law from the University of Mauritius. He possesses experience in the Financial Services Sector and has been involved in all aspect of global business activities and compliance matters. Hishaam provides assistance to the Managing director and MLRO of the Group and is involved in Legal and Compliance matters among others.

[hishaam@hlb-mauritius.com](mailto:hishaam@hlb-mauritius.com)



**Dinusha Lakmini Gokool**

**Legal & Compliance Executive**

Dinusha holds a Bachelor of Laws from Middlesex University. She occupies the post of Legal and Compliance Executive at HLB Risk & Compliance Consultancy Ltd. Dinusha reckons more than two years of experience in an array of matters and has been providing valuable legal research and input on both domestic and international legislations.

[dinusha@hlb-mauritius.com](mailto:dinusha@hlb-mauritius.com)

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**HLB RISK & COMPLIANCE CONSULTANCY LTD**  
**Legal | Compliance | Advisory**

Appavoo Business Centre,  
29bis Mère Barthélemy Street,  
11324 Port Louis,  
Rep. of Mauritius

**TEL:** +(230) 203 3900

**FAX:** +(230) 211 5123

**EMAIL:** [info@hlb-mauritius.com](mailto:info@hlb-mauritius.com)

**WEB:** [hlb-mauritius.com](http://hlb-mauritius.com)